Common Stock

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FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  | 1 1100  | or S                                     | Section 30(h) of the             | Investr                           | nent C | ompany Act of                    | 1940  | .504                                      |   |   |   |   |  |  |
|--|---|--|----------------------------------|-----------------------------------|--------|----------------------------------|---|---|---|---|---|---|--|--|
| 1. Name and Address of Reporting Person* SOON SHIONG PATRICK |   | suer Name <b>and</b> Tick<br>KKS PACIFIO |                                  |                                   |        |                                  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner |   |   |   |   |   |  |  |
| (Last) (First) (Middle) 10182 CULVER BOULEVARD               |   |  | ate of Earliest Trans<br>11/2012 | action (                          | Month  | n/Day/Year)                      |   | Officer (give title Other (specify below) |   |   |   |   |  |  |
| (Street) CULVER CITY CA 90232 (City) (State) (Zip)           | 4. If Amendment, Date of Original Filed (Month/Day/Year) 04/09/2013 |  |                                  |                                   |        |                                  |   |   | 6. Individual or Joint/Group Filing (Check Applicable Line)  Form filed by One Reporting Person  X Form filed by More than One Reporting Person |   |   |   |  |  |
|  | on-Deriva   | ative                                    | Securities Ac                    | guire                             | d, Di  | sposed of,                       | or Be   | neficia                                   | ılly  | Owned   |   |   |  |  |
| 1. Title of Security (Instr. 3)                              | 2. Transacti<br>Date<br>(Month/Day                                  | ion                                      | 2A. Deemed<br>Execution Date,    | 3.<br>Transaction<br>Code (Instr. |        | 4. Securities /<br>Disposed Of ( | (A) or  |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |  |  |
|  |   |  |                                  | Code                              | v      | Amount                           | (A) or (D) Price  |   | Transaction(a)  |   |   |   |  |  |
| Common Stock   | 10/01/20  | 012                                      |                                  | P                                 |        | 135,600                          | A   | \$13.7                                    | 6 <sup>(1)</sup>  | 1,002,372   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/02/20  | 012                                      |                                  | P                                 |        | 65,000                           | A   | \$13.7                                    | 6 <sup>(1)</sup>  | 1,067,372   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/03/20  | 012                                      |                                  | P                                 |        | 100,000                          | A   | \$13.7                                    | 1 <sup>(1)</sup>  | 1,167,372   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/04/20  | 012                                      |                                  | P                                 |        | 100,000                          | A   | \$13.8                                    | 7 <sup>(1)</sup>  | 1,267,372   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/05/20  | 012                                      |                                  | P                                 |        | 103,640                          | A   | \$13.9                                    | 8(1)  | 1,371,012   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/08/20  | 012                                      |                                  | P                                 |        | 88,208                           | A   | \$13.8                                    | 2 <sup>(1)</sup>  | 1,459,220   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/09/20  | 012                                      |                                  | P                                 |        | 200,000                          | A   | \$13.8                                    | 7(1)  | 1,659,220   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/10/20  | 012                                      |                                  | P                                 |        | 84,300                           | A   | \$13.6                                    | 8(1)  | 1,743,520   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/11/20  | 012                                      |                                  | P                                 |        | 149,262                          | A   | \$13.9                                    | 8(1)  | 1,892,782   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/12/20  | 012                                      |                                  | P                                 |        | 83,000                           | A   | \$13.9                                    | 8(1)  | 1,975,782   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
| Common Stock   | 10/15/20  | 012                                      |                                  | P                                 |        | 24,218                           | A   | \$13.7                                    | <b>3</b> <sup>(1)</sup>   | 2,000,000   | I   | Through<br>California<br>Capital <sup>(2)</sup> |  |  |
|  |   |  |                                  |                                   |        |                                  |   |   |   |   |   | Through   |  |  |

\$12.57(1)

\$12.95(1)

2,010,300

2,013,700

California

Capital<sup>(2)</sup>
Through

California Capital<sup>(2)</sup>

Ι

Ι

A

A

10,300

3,400

12/10/2012

02/05/2013

| 1. Title of Security (Instr. 3)                |   |  | Date<br>(Month/Day/Year)                    |                |              | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |     | Code ( | Transaction Code (Instr. |   | 4. Securities Acquir<br>Disposed Of (D) (Ins |                 | 5. Amour<br>Securitie<br>Beneficia<br>Owned F<br>Reported | s<br>ally<br>ollowing                               | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|--|---|--|---|----------------|--------------|---|-----|--------|--------------------------|---|--|-----------------|---|---|---|--|---|---|
|  |   |  |   |                |              |   |     |        | Code                     | v   | Amount                                       | (A) o<br>(D)    | Price   | Transacti<br>(Instr. 3 a                            | ion(s)  |  | "   | 11501. 4)   |
| Common   | Common Stock  |  | 02/0  | 06/2013        |              |   |     |        |                          | 2,66  | 4 A  | \$12.95         | 2,010   | 6,364   | 364 I   |  | Through<br>California<br>Capital <sup>(2)</sup>                   |   |
| Common Stock                                   |   | 02/2                                       | 22/2013                                     |                |              | P   |     |        | 34,40                    | 00 A  | \$12.12                                      | 2,050           | 2,050,764   |   |   | Through<br>California<br>Capital <sup>(2)</sup>      |   |   |
| Common Stock                                   |   | 02/2                                       | /25/2013                                    |                |              |   | P   |        | 50,00                    | 00 A  | A \$12.02 <sup>(1)</sup>                     |                 | 2,100,764   |   |   | Through<br>California<br>Capital <sup>(2)</sup>      |   |   |
| Common Stock                                   |   | 02/2                                       | /26/2013                                    |                |              |   | P   |        | 31,00                    | 0 A \$12.08 <sup>(1</sup>                             |  | 2,13            | 2,131,764   |   |   | Through<br>California<br>Capital <sup>(2)</sup>      |   |   |
| Common Stock                                   |   | 02/2                                       | 2/27/2013                                   |                |              |   |     |        | 30,00                    | 00 A  | \$12.13                                      | 2,16            | 1,764   | I   |   | Through<br>California<br>Capital <sup>(2)</sup>      |   |   |
| Common Stock                                   |   | 02/2                                       | 8/2013                                      |                |              |   | P   |        | 30,00                    | 00 A  | \$12.15 <sup>0</sup>                         | 2,19            | 1,764   | I   |   | Through<br>California<br>Capital <sup>(2)</sup>      |   |   |
| Common Stock                                   |   |  | 04/0  | 04/05/2013     |              |   |     | P      |                          | 127,0   | 70 A   | \$10.3(1        | 2,31  | 8,834   | D   |  |   |   |
| Common Stock                                   |   |  | 04/0  | 04/08/2013     |              |   |     | P      |                          | 35,36   | 3 A  | \$10.4(1        | ) 2,354   | 4,197   | D   |  |   |   |
| Common Stock                                   |   |  | 04/0  | 04/09/2013     |              |   |     | P      |                          | 77,18   | 9 A  | \$10.520        | 2,43  | 1,386   | D   |  |   |   |
| Common Stock                                   |   | 04/0                                       | 04/09/2013                                  |                |              |   | P   |        | 660,0                    | 00 A  | \$10.43                                      | 3,09            | 3,091,386   |   |   | Through<br>California<br>Capital <sup>(2)</sup>      |   |   |
|  |   |  | Table II                                    |                |              |   |     |        |                          |   |  |                 | neficially  | Owned   |   | -  |   |   |
|  | Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deem<br>Execution<br>if any<br>(Month/D | ned<br>n Date, | 4.<br>Transa | 4.<br>Transaction<br>Code (Instr.                           |     | of E   |                          | 5. Date Exercisa<br>Expiration Date<br>Month/Day/Year |  | 7. Title an     | d Amount of<br>Underlying<br>Security                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |   | ve Own es Forr ially Dire or In ng (I) (II d tion(s) | ership<br>n:<br>ct (D)<br>direct<br>nstr. 4)                      | 11. Natur<br>of Indired<br>Beneficia<br>Ownersh<br>(Instr. 4) |
|  |   |  |   |                | Code         | v   | (A) | (D)    | Date<br>Exercisab        | le D  | xpiration<br>ate                             | Title           | Amount or<br>Number of<br>Shares                          |   |   |  |   |   |
| Warrant  | \$16.2823   |  |   |                |              |   |     |        | 09/12/201                | 2 0   | 9/12/2017                                    | Common<br>Stock | 1,500,000   |   | 1,500   | ,000   | I   | Through<br>NantWor  |
|  |   | Reporting Person*                          |   |                |              |   |     |        |                          |   |  |                 |   |   |   | ·  |   |   |
| (Last) (First) (Middle) 10182 CULVER BOULEVARD |   |  |   |                |              |   |     |        |                          |   |  |                 |   |   |   |  |   |   |
| (Street)                                       | R CITY  | CA   | 902   | 232            |              |   |     |        |                          |   |  |                 |   |   |   |  |   |   |
|  |   |  |   |                |              |   |     |        |                          |   |  |                 |   |   |   |  |   |   |

CULVER CITY

(Street)

(City)

(First)

CA

(State)

10182 CULVER BOULEVARD

(Middle)

90232

(Zip)

Capital") undertakes to provide to JAKKS Pacific, Inc. (the "Company"), any securityholder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the price at which these shares were purchased.

- 2. Dr. Soon-Shiong is the sole member of California Capital.
- 3. California Capital is the sole member of NantWorks LLC.

## Remarks:

This Form 4/A supersedes in its entirety the Form 4 filed by Dr. Soon-Shiong and California Capital on April 9, 2013. For the avoidance of doubt, the disclosure of any securities in this Form 4/A shall not be construed as an admission of beneficial ownership for the purposes of Section 16 of the Securities Exchange Act of 1934, as amended, of any such securities.

/s/ Patrick Soon-Shiong 07/15/2013

/s/ Charles Kenworthy,

Manager of California Capital 07/15/2013

Z, LLC

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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