SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | 01 0000 | 50(1) 01 | the investment con | pully Act of 1 | 540 | | | | |
|---|--------------------|-------|----------|---|------------------------------------|--|----------------|--|---|--|---|--|
| 1. Name and Address of Reporting Person* <u>SOON SHIONG PATRICK</u> | | | R (1 | 2. Date of Event Requiring Statement (Month/Day/Year) 04/05/2013 | | 3. Issuer Name and Ticker or Trading Symbol <u>JAKKS PACIFIC INC</u> [JAKK] | | | | | | |
| (Last) (First) (Middle) 10182 CULVER BOULEVARD | | | | | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner | | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | | |
| (Street) CULVER CITY | CA | 90232 | | | | Officer (giv below) | e title | Other (spe below) | cify | | cable Line) Form filed b Form filed b | t/Group Filing (Check by One Reporting Person by More than One |
| (City) | (State) | (Zip) | | | | | | | | | Reporting P | 'erson |
| | | | T | able I - Non | -Derivat | ive Securities | Beneficial | ly Owned | | | | |
| 1. Title of Security (Instr. 4) | | | | | | . Amount of Secur Beneficially Owned | | | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Stock | | | | | | 41,042(|) | D | D | | | |
| Common Stock | | | | | | 2,191,764 | (1) | I | | Through California Capital Z, LLC ⁽²⁾ | | |
| | | | (e.g | | | e Securities Be nts, options, c | | | s) | | | |
| 1. Title of Derivative Security (Instr. 4) 2. Date Exert Expiration Dr. (Month/Day) | | | | ate | Underlying Derivative Security (In | | | or Exer | | 5. Ownership Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | | | | Date Exercisable | Expiration Date | ו Title | | Amount or Number of Shares | Price o Derivat Securit | tive | Direct (D) or Indirect (I) (Instr. 5) | |
| 1. Name and Addi SOON SHIC | - | - | | | | 3 | | • | 4 | | | • |
| (Last) 10182 CULVE | (First) R BOULE | VARD | (Middle) | | | | | | | | | |
| (Street) CULVER CITY CA 90232 | | | 90232 | | | | | | | | | |
| (City) | (State) |) | (Zip) | | | | | | | | | |
| 1. Name and Address of Reporting Person [*] California Capital Z, LLC | | | | | | | | | | | | |
| (Last) 10182 CULVE | (First) R BOULE | VARD | (Middle) | | | | | | | | | |
| (Street) CULVER CIT | Y CA | | 90232 | | | | | | | | | |
| (City) | (State) |) | (Zip) | | | | | | | | | |

Explanation of Responses:

1. The shares disclosed represent the shares deemed to be held by Dr. Patrick Soon-Shiong after giving effect to the transactions through 4/5/2013 that gave rise to the requirement to file this Form 3. This Form 3 excludes the effect of the transactions on 4/5/2013 that are reported on the Form 4 filed concurrently with this Form 3.

2. Dr. Soon-Shiong is the sole member of California Capital Z, LLC.

| /s/ Patrick Soon-Shiong | <u>04/09/2013</u> |
|----------------------------------|-------------------|
| <u>/s/ Charles Kenworthy,</u> | |
| Manager of California Capital | 04/09/2013 |
| <u>Z, LLC</u> | |
| ** Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.